

# Procedure for producing the slate of nominations for members of Commissions and members of the Executive Council for the 2020 General Assembly

BMcK

10 October 2018

The meeting of the C&CC in May asked the Officers and C1 to provide to the October 2018 C&CC meeting a detailed procedure for calling for nominations for Commissions early in 2019, and finalising the slates the Council will recommend to the 2020 General Assembly at or soon after the 2019 meeting of the Council.

This decisions was reached because of concerns expressed at the 2017 General Assembly about

1. the decisions made by Council to change the membership of Commissions which had been recommended by the Commission to achieve geographic balance across all Commissions and gender balance within the Commission.
2. the decision made by Council to make no changes to the Chairs recommended by the Commission, which resulted in there being 8 Commission Chairs from one of our member countries.
3. the desirability of identifying the next Chair of the Commission one year before they took over that position to enable them to become familiar with the obligations, duties and responsibilities of the Commission Chair.

In May Council noted that the present Statutes and By Laws specified a minimum time before the General Assembly at which nominations for Commission members should be received, but did not specify a maximum time, so that it is legally possible to meet the timeline set out in the request.

The proposed procedure for managing the nominations of Commissions is

1. A call for nominations for all Commission positions to be sent to Members in early 2019, stating that the nomination forms on the website will be open

from a day seven months before the C&CC meeting of 2019.

2. Members are requested to nominate a number of people which is greater than 1.3 time their number of shares, even if this means they are nominating more people than there are Commissions. This enables an adjustment of discipline balance, gender balance and geographic balance in the Commissions.
3. Nominations from members will close 4 months before the before the C&CC meeting of 2019, but members who have not made the requisite number of nominations will be encouraged to complete their nominations up until 3 months before the C&CC meeting of 2019.
4. Nominations of physicists not already nominated may be made by the Chair of a Commission on behalf of a Commission in the period 3 months to 1 month before the C&CC meeting of 2019.
5. Commission will provide their recommendations for the Chair, Vice-Chair and Secretary of the Commission, and 13 people in order of preference for the remaining 11 positions on the Commission 3 weeks before the C&CC meeting of 2019. They are asked to recommend 13 people rather than 11 people to facilitate an adjustment of discipline balance, gender balance and geographic balance in the Commissions.
6. The Executive Council will appoint a Nomination Sub-Committee which will review the recommendations of the Commissions and propose a *slate* of members of the Commissions, to be presented to a meeting of Chairs of Commissions, held the day before the C&CC meeting of 2019. If necessary the Nomination Sub-Committee may make additional nominations on behalf of the Executive Council. Variations from the recommendations received from the Commissions may be made to balance the disciplinary, gender and geographic balance of the Commission.
7. If the average of number of recommended Chairs in the next three year term, and the preceding two three year terms from any member country exceeds greater of 1 and a quarter for the number of shares (ie 4 for 18 shares, 1 for 3 shares), the Nomination Sub-Committee will request that the meeting of Chairs of Commissions vary the *slateso* that this number is not exceeded.
8. This meeting of Chairs of Commissions may make variations to the *slate*, while maintaining the disciplinary, gender and geographic balance of the Commissions and recommend a *slate* of Commission members to the Executive Council, which according to the by laws will recommend the *slateto* the General Assembly. The Executive Council*slatewill* be provided to members when the date of the General Assembly is announced.

9. The recommended *slate* may be changed by the Executive Council if, at any time before the 2020 General Assembly any of the physicists on the *slate* are unable to serve.

The proposed procedure for managing the nominations for the Executive Council is

1. A call for nominations for the positions on the Executive Council, except the Past President and the Vice Presidents who are Commission Chairs to be sent to IUPAP Members, members of the Executive Council and members of Commissions in early 2019, stating that the nomination forms on the website will be open from a day seven months before the C&CC meeting of 2019, and will close 1 month before that meeting.
2. The Executive Council will appoint a Nomination Sub-Committee which will review the recommendations of the Commissions and propose a *slate* of members of the Executive Council, to be presented to the C&CC meeting of 2019, held the day before the C&CC meeting of 2019. If necessary the Nomination Sub-Committee may make additional nominations on behalf of the Executive Council. The *slate* will take account of the need for a disciplinary, gender and geographic balance of the Executive Council.
3. The Nomination Sub-Committee will make recommendations for the Vice Presidents who are Commission Chairs in order to maintain the disciplinary, gender and geographic balance of the Executive Council.
4. This meeting of the C&CC may make variations to the *slate*, while maintaining the disciplinary, gender and geographic balance, as a recommendation to the Executive Council, which according to the by laws will recommend the *slate* to the General Assembly. The Executive Council's *slate* will be provided to members when the date of the General Assembly is announced.
5. The recommended *slate* may be changed by the Executive Council if, at any time before the 2020 General Assembly any of the physicists on the *slate* are unable to serve.

For convenience the detailed instructions given to the members of IUPAP and the Commission regarding the 2017 round of nominations are in the appendix.

# Appendix

## 1 Nominations for Commissions

The positions Chair, Vice Chair and Secretary are collectively called the *Officers* of the Commission. Other members are called *Ordinary Members* when it is necessary to distinguish them from Officers.

People who are nominated for membership of Commissions should be aware that service on a Commission requires work on their part: responding to questions from the Chair or the Secretary, attending face to face or audio or video meetings, evaluating bids for conference support, and doing tasks that may be requested of them. The workload is clearly uneven in its pattern. Averaged over the year it may be a few hours a week, but there could be times when full days need to be devoted to Commission work.

Commission Members, individually and collectively, have responsibility to:

- Represent their sub-discipline, according to IUPAP's mission and statutes, with distinction.
- Contribute to meetings of the Commission, in person and/or via electronic means.
- Ensure applications from the most appropriate international conferences, which support their sub-discipline and which satisfy IUPAP rules, reach IUPAP for consideration in the annual round of applications for conference support.
- Contribute to the Commission's consideration of applications for conference support
- Ensure nominations for the Commissions Young Scientist Prizes are sought from the broad spectrum of their physics community, including with due regard to representation of equity groups, particularly women.
- Contribute to the process of deciding the Young Scientist Prizes following processes that ensure unconscious bias is identified and avoided.
- Explain to their national physics community the part that IUPAP and their commission of IUPAP plays in assisting in the worldwide development of physics, in fostering international cooperation in physics, and in helping in the application of physics toward solving problems of concern to humanity.

- Assist their national physics community and their commission to identify, nominate and propose candidates for the next Commission.
- Contribute to reporting activities within their physics community, especially those involving international cooperation, through IUPAP Newsletters and Commission reports, which in turn lead to an overall, high quality profile of current Physics in the international context. This profile is to be one of which the physics community can be proud.
- Contribute to identifying projects and issues that should be the subject of an IUPAP Working Group.

The expected workload of those who become Chair, Vice-Chair or Secretary is significantly higher. Those asked to consider nomination to an officer position should ideally consult the present Chair about the likely workload, because it varies from Commission to Commission. However they should expect a high workload at the time of Commission meetings, Conference bids and evaluation of nominations for their successor commission. Chairs are also expected to attend the annual two day meeting of the Council and Commission Chairs. A crude estimate of a Chairs workload is about 3 weeks of full time work, and quiet times of 2-3 hours a week in between. The Vice-Chair and the Secretary would have about half the workload of the Chair.

The officers of a Commission are responsible for leading the Commission in exercising the above responsibilities, and for ensuring that the views of their sub-discipline of physics are adequately represented to IUPAP, and that the views of IUPAP about physics as a whole are adequately represented to their Commission and to physicists working in their sub-discipline.

There is no defined succession path from Vice-Chair to Chair, or from Secretary to Chair, but these officers often do go on to become the Chair of the Commission.

When submitting a nomination, nominees are asked to confirm that they have read the above description of the responsibilities of the positions for which they are nominating.

## **1.1 Nominations by Liaison Committees of National Members for positions on Commissions**

1. Nominations by Liaisons for positions on Commissions are to be made on the form on the IUPAP web page. [insert url and link](#)Can we make the only

[way to get to the form is from this page, and not be able to put the link in elsewhere](#) This form will be available for nominations from **11 March 2017**.

2. If, after you complete and submit a form, and receive a copy, you wish to make a correction, you will need to submit a new form. When you do so, the new form will replace the previous form on our database.
3. Nominations may be made for Chair, Vice Chair, Secretary and Member. Nominees for Chair, Vice Chair and Secretary will also be considered as nominations for members, in the event that they are not recommended as officers, if they are eligible for an additional term as a member.
4. The initial nominations of physicists for Commissions are made by the Liaison Committees of National Members. The closing date for these nominations is **11 June 2017**.
5. Liaison Committees should include women and applied physicists in their nominations.
6. The Executive Council has determined that, in 2017-2020, there should be at least 4 women members of each Commission.

WG5 on Women in Physics can help you identify women nominees, through their Country Contacts for your country. Go to the WG5 website <http://wgewip.df.uba.ar/>, and click [Country Contact](#) on the left hand side, to discover your country contact.

If the country you seek is not listed, please move to the [Contact us](#) page, which will lead you to Jackie Beamon-Kiene. Jackie is very well positioned to find contacts.

Invited speakers in relevant conferences is another source for potential women and men candidates.

7. Liaison Committees are asked to nominate at least number of physicists equal to the integer greater than 1.3 times their number of shares. The proposed minimum number of nominations is

Shares	minimum nominees
1	2
2	3
3	4
4	6
5	7
6	8
7	10
8	11
9	12
10	14
11	15
12	16
13	17
14	19
15	20
16	21
17	23
18	24

This is to help ensure that they get a number of Commission members equal to their number of shares.

8. To help us understand how our very different liaison committees work we are asking those making nominations to tell us a little about the consultation process which generated the nomination.
9. The nominations for a particular commission are sent to the Chair of that commission. to the office and are copied to the nominator.
10. Nominations for additional terms
  - (a) Existing Commission members who have served one term may be renominated for the same position or for another position on the same Commission.
  - (b) Existing Commissions members who have served two terms as ordinary members may be nominated only for Chair, Vice Chair or Secretary.
  - (c) Vice Chairs, or Secretaries who have served two terms my be nominated as Chair, or for the officer position they have not served.
  - (d) Chairs may not be nominated to any position on the Commission.
11. Commissions may suggest possible nominees to Liaison Committees.

## 2 Nominations By Commissions for members of their commission

1. Commissions may nominate additional physicists to their Commission until **30 August 2017**. The nomination form will be at [insert url and link](#) from **21 June 2017**
2. **Commissions will provide a recommendation for the officers and members of the Commission by 30 August 2017, with their comments on all of their nominees. Chairs will be provided with the instructions and the link for this on 11 June 2017.**
3. Commissions are reminded of the requirement that *To the extent practical, at least one member shall be from the industry. If a Commission believes that this requirement is not practical, it shall inform the Council of its reasoning.*
4. The Executive Council has determined that, in 2017-2020, there should be at least 4 women members of each Commission.

WG5 on Women in Physics can help you identify women nominees, but a good source of potential nominees for Commissions is the Invited speakers at their conferences

5. Nominations for additional terms
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  - (b) Existing Commissions members who have served two terms as ordinary members may be nominated only for Chair, Vice Chair or Secretary.
  - (c) Vice Chairs, or Secretaries who have served two terms may be nominated as Chair, or for the officer position they have not served.
  - (d) Chairs may not be renominated to any position on the Commission.

### 2.1 Review by a Committee of Executive Council, and Executive Council

1. After nominations are received, a nominations committee of four will convene to produce the first draft of the slate of recommendations. The committee

will be chaired by President Designate Kennedy Reed, and the other members will be President Bruce McKellar, Associate Secretary General Rudzani Nemutudi, and Deputy Secretary General Leong Chuan Kwek. The committee will meet on or about 23 Sept 2017

2. The draft slate will endeavour to balance geographical distribution, coverage of sub-disciplines and to ensure that each National Member has a number of commissioners equal to its number of shares.
3. The committee may, on behalf of the Executive Council, make additional nominations to Commissions.
4. The draft slate will be discussed by the Executive Council, modified if necessary, and provided to the General Assembly on the first day of the meeting.

## 3 Nominations for Executive Council

### 3.1 Nominations by Liaison Committees

Liaison Committees may, with a closing date of 30 August 2014, make nominations for the following positions on the Executive Council, on the form available at [insert url and link](#):

- President Designate (Note that by the normal geographic rotation, the next President Designate would normally be from Europe or Africa)
- Secretary General
- Associate Secretary General
- Vice President at Large

Nominees for the above positions should consult the President for information on the likely workload

**The Executive Council, at its meeting in October 2016 determined that each of the 5 Vice Presidents at large should have a special responsibility, namely**

1. Vice-President at Large with responsibility for Finance
2. Vice-President at Large with responsibility as Gender Champion
3. Vice-President at Large with responsibility for New Members

4. Vice-President at Large with responsibility for Outreach
5. Vice-President at Large with responsibility for the Centenary Celebrations

Nominations for Vice-President at large should indicate which responsibility the candidate wishes to exercise, and their qualifications for that responsibility. Now that the Vice-Presidents at Large have defined responsibilities they should note that the positions now have a non-trivial workload attached. The workload pattern will be very uneven, but it should be expected to add up to about 3 weeks a year. Because the finances of IUPAP are managed through the Company *International Union of Pure and Applied Physics Singapore*, a Singaporean Company limited by Guarantee, the Vice-President at Large with responsibility for Finance would normally become a member or Director of that Company.

Questions about the responsibilities of the Vice-Presidents at Large should be directed to the President.

### **3.2 Review by a Committee of Executive Council, and Executive Council**

1. The committee referred to above will recommend to the Executive Council the five Vice Presidents to be elected from the Commission Chairs, and for the five Vice Presidents to be elected at large.
2. The committee may, on behalf of the Executive Council, make additional nominations for the position of Vice President at large.
3. The President, the Past President, the President Designate and the Secretary General will recommend to the Executive Council nominees for President, President Designate, Secretary General, and Associate Secretary General, making additional nominations if necessary.
4. These recommendations will be discussed by the Executive Council, modified if necessary, and provided as the slate of nominees to the General Assembly on 11 October 2017, the first day of the General Assembly.

## 4 The Election Process at the General Assembly

The General Assembly devotes some of each of its three days to the election of new members of Commissions and of the Executive Council

### 4.1 Receipt of the slate of nominees

The *slate of nominees* is simply the list of Commission members, and Executive Council members, which the Executive Council recommends to the General Assembly. Only people who have been nominated by Liaisons, Commissions, or the Executive Council, as described above, can appear on the slate.

The *slate of nominees* is received by the General Assembly on its first day. The personal details of the nominees, whether or not they are placed on the slate, are confidential to the delegates to the General Assembly, and to members of Commissions and the Executive Council. Access to the nomination papers which contain those details is restricted to the above, and will be available from the presentation of the slate on 11 October 2017.

Liaisons may of course note that some of their nominees are not on the slate. They may note their special concerns during the discussion on the first day

### 4.2 Renominations

On the second day of the General Assembly, people who were not placed on the slate may be renominated by the member or Commission which originally nominated them. If this renomination is supported (seconded) by an additional member, the person who is renominated and seconded will be added to the slate, which will then have more names on it than the number of members of that Commission, thus requiring a vote.

It is also possible for the slate to be varied by negotiation. One nominee for a Commission listed on the slate, may be replaced by another nominee for that Commission, not originally listed on the slate, if the exchange is agreed to by the leaders of the delegations of representing the nominating members for each, and the Chair of the Commission and the President Designate.

### **4.3 Election and ballot for positions on Commissions**

If the slate for any Commission now contains more names than there are positions on the Commission, on the third day of the General Assembly a secret ballot will be held to elect the members of that Commission. In the ballot the leader of a members' delegation will cast the number of votes held by that member.

If the slate for any Commission now contains a number of names equal to the number of positions on the Commission, on the third day of the General Assembly those members will be declared elected without any ballot.

### **4.4 Election of members of the Executive Council**

The General Assembly elects the next Executive Council by a procedure completely equivalent to the above procedure for elections to Commissions.

## **5 Privacy policy**

Nominees are providing personal details so that members of IUPAP can reach a decision on whom they wish to be members of Commissions and the Executive Council for the period 2018-2020. The personal details of the nominees may be accessed, on a confidential basis, only by present members of the the Executive Council and Commissions, and by the delegates to the General Assembly.

When submitting a nomination, nominees are asked to confirm that they have read and accept this privacy policy.

## **6 Relevant By Laws regarding Commissions and Elections to Commissions**

### II. COMMISSIONS

- (1) In addition to a Member's official Delegation, all other members of Liaison Committees may attend without vote.

(2) The President may invite Guest Observers without vote as deemed appropriate.

(A) Membership

1. Commissions shall be composed of a Chair, Vice-Chair, Secretary and eleven other members.
2. Normally, there shall be no more than one commission member from any Member. Some Commissions may believe their work would be hindered by this rule. They may ask the Council for an exception. If the Council believes the exception is warranted, it will propose an exception to the General Assembly for ratification before the election.
3. Commissions may have important links to other Commissions, Scientific Unions or international organizations. Each Commission may propose to the Council up to four associate members. The Council will appoint associate members about one year after the election of regular members. Associate members do not vote at Commission meetings and are not eligible for IUPAP travel funds.
4. To the extent practical, at least one member shall be from the industry. If a Commission believes that this requirement is not practical, it shall inform the Council of its reasoning.
5. When new Commissions are formed the Council will make ad hoc arrangements for membership until the normal rotation of membership can be established.

(B) Terms of Office

1. All elections are for a term of three years.
2. Chairs may not be re-elected to any position on the Commission beyond their term as Chair, and Vice Chairs/Secretaries may not be re-elected to the same positions nor be re-elected as an ordinary member - except in extraordinary circumstances, and, in such circumstances, special approval by the General Assembly is required. Ordinary members may be elected twice.
3. Normally the Secretary, Vice-Chair and Chair are to be chosen from among those who have served at least one term on the Commission.
4. Service in all capacities shall not exceed three terms.

5. The General Assembly may grant exceptions for those Commissions that undertake long-term projects or where continuity is particularly important.

### III. ELECTION

#### (A) Procedure

##### 1. Nominations

- a. A call for nominations to Commissions and the Council shall be sent to all Liaison Committees and Commissions at least six months before a General Assembly.
- b. Nominations from Liaison Committees must be received by the Secretary- General no later than four months before a General Assembly. Nominations from Commissions must be received no later than six weeks before a General Assembly. A statement of the candidate's qualifications sufficient to allow an evaluation of the candidate must accompany nominations. It is preferred that nominations be submitted electronically.
- c. The Council will prepare a slate of nominees for the Commissions and Council from the nominations and may itself suggest names (in which case a statement of qualifications will be prepared). Special consideration will be given to continuity, geographic distribution, and coverage of major sub-fields within the Commissions. Delegates will receive the slate of nominees at the start of the General Assembly.
- d. Liaison Committees may resubmit nominations at the General Assembly of persons not on the Council's slate, but these renominations must be supported by at least one other delegation. The Council then will prepare a final ballot with its recommendations and list any renominations for a position.
- e. It may be necessary because of unforeseen circumstances, such as the unavailability of a candidate, to make nominations at the General Assembly. If so, nominations can be made by the Heads of Delegations, Commission Chairs, or Members of the Council. Such nominations require a seconder.

##### 2. Elections

- a. If more names are included on the final ballot than there are

positions, the election will be by secret ballot. Each Delegation Head will vote its block of votes.

- b. Two tellers appointed by the General Assembly count votes. Assistance may be provided, if desired, by Secretariat personnel